

## Appendix 4: Corporate Risks

Risk	Last reviewed	Review commentary
<b>Likelihood: High/ Impact: High</b>		
S.06 - Failure to implement the Town Centre Plan	Feb 2013	November Council agreed a revised Development Agreement to allow the scheme to move ahead in phases as a result of the difficult economic climate and the Developers request to ensure more effective marketing for the retail element once the first phase has commenced. Since that time, the Council, with its advisers, have been engaged in dialogue with Sainsbury's and the Tin Hat Regeneration Company to seek renewed commitment for the scheme to commence as soon as possible, with Stage 1 being completed in 2015. A further report is planned for Council on progress within the next few months.
S.19 - Failure to improve sickness absence	Jan 2013	Concerns at the start of the new financial year have been addressed and the levels of absence are now at a similar level to the first half of 2011/12. This will continue to be kept under monthly review for the next three months
S.33 - MIRA RGF Fund	Jan 2013	The DCLG have agreed funding draw down as of 15 January 2013. Detailed delivery programme is being revised to secure completion of works in 2015. External Project Board and Internal Project Board arrangements are in place to manage the project. The LLEP have agreed, through their accountable body, Leicester City Council, to underwrite HBBC risks in respect of potential commuted sum payments within 10 years of the completion of the scheme. A legal contract has been drafted and sign off of the agreement is expected from Leicester City imminently
<b>Likelihood: Medium/ Impact: High</b>		
S.01 - Failure to focus on priorities and initiatives	Jan 2013	Issue regarding the developer for Hinckley Hub going into Administration (November 2012) addressed effectively and speedily by our partner, MRP, with the outcome that the Hub will be completed by May 2013. The risk profile for this project has been reduced by this agreement. Council agreed the leisure Centre be relocated to Argents Mead and the procurement process for this is under way and on target, with strong interest. The depot is on track to be relocated and operational by end march 2013, with contingency plan in place to address transition phase. Management concentration now on the phased implementation of the scheme for the bus station site.
S.04 - Damage to Reputation/adverse publicity	Jan 2013	Current concentration on: Barwell SUE (planning committee in March 2013), impact of revisions to Council Tax Benefit/Subsidy scheme, Council Tax/Budget and Town Centre sustainability. Future plans for Leisure Centre and Bus Station will feature over next three months, along with opening of Hub and Jubilee House.
S.15 - Failure to successfully adopt and deliver the LDF	Jan 2013	Progress continues to be made on production of evidence bases to support the Local Plan. Further Member workshops are planned between February and March to firm up preferred housing allocation sites which are programmed to be reported to Council Summer 2013. A revised draft of Gypsy and Traveller Needs Survey has been submitted. Queries have gone back to Consultants to seek clarification on further potential to extend existing sites to accommodate requirements.

<b>Risk</b>	<b>Last reviewed</b>	<b>Review commentary</b>
<b>Likelihood: Medium/ Impact: High</b>		
S.29 - Loss of contract for Supporting People funded services	Jan 2013	Contracts for older persons housing related support have been extended by the County council to allow time to discuss service specifications and impact on providers and customers of any proposed service. Service manager on working group looking at specificaiton. Service manager also considering alternative delivery methods - intensive housing management. Discussions with NWLDC continuing regarding control centre
S.34 - Safeguarding of vulnerable adults, children & young people	Jan 2013	DIG mini safeguarding audit undertaken as a benchmarking exercise. Children's Central Duty Team received over 15,000 calls in 2012 - 10% increase in 2012. Of these 6,000 required additional action and interventions. DSO meetings diarised for 2013 Update report on Safeguarding being drafted for Executive in March 2013
S.36 - Variances to Housing Repairs Account	Jan 2013	Operational controls are in place to minimize additional expenditure. Reconciliations are taking place on a regular basis and weekly budget monitoring is now taking place. An improvement plan for the service is currently being developed.
<b>Likelihood: High/ Impact: Medium</b>		
S.35 - Ash die back disease	Jan 2013	Loss of tree stock Capital and revenue - additional tree maintenance budgets to manage H & S risks from standing dead timber. Failure to manage a SSSI effectively (advice will be sought from Natural England). Government guidance is now to remove young trees which are effected, but not to try to manage the disease in mature tree stock. Young ash trees are within multi species plantations owned by HBBC at Brodick rd Park and Billa Barra Hill. No reported cases on HBBC owned trees
<b>Likelihood: Low/ Impact: High</b>		
S.12 - Insufficient Business Continuity Management (incl Disaster recovery) arrangements	Jan 2013	The review process is ongoing and no major issues have been identified
S.13 - Failure to Manage Partnership working	Jan 2013	The LSP Annual report or 2011/12 has been signed off by SLB and the LSP and will be reported to Scrutiny in Dec. This highlights good progress from key delivery partnerships including the CSP, LPG, TCP, H&WBP and E&SP.. Steady progress continues to be made on the innovative Endeavour Project.
S.16 - Failure to adhere to Health and Safety Legislation/ Regulations	Jan 2013	Q2 - Revised policy launched and information being distributed to Managers.Report on evaluation of implementation of new policy to be produced March/ April 2013.Consultant for Housing Repairs no longer engaged.Health and Safety Officer being trained to take up responsibility for this service from January 2013. Legionella risk continues to be monitored.Health and Safety Officer has now taken from Assets responsible for Risk Assessment with technical input from Assets . Q3 Protocol for Business Contracts and Street Scene Services developed ready for implementation on 1st January 2013.Evaluation of implementation of revised policy to be reported to COB March/April 2013.

<b>Risk</b>	<b>Last reviewed</b>	<b>Review commentary</b>
<b>Likelihood: Low/ Impact: High</b>		
S.17 - A reduction in Benefit Subsidy as a result of error and/or poor performance impacting on Medium Term Financial Strategy	Jan 2013	The audit of the subsidy claim for 2011/12 is complete and the findings were very positive the net effect is that our claim will be reduced by approximately £6k.
S.27 - Failure to deliver / ensure sustainability to My Place Project	Jan 2013	The centre continues to perform well and membership is over 3,000 and quarterly monitoring meetings through a joint board take place
S.30 - Review by the Equalities Commission for Human Rights of disability issues	Jan 2013	Completed work on Section 23 Agreement and submitted Action Plan, which incorporates existing activities and has been agreed by EHRC. Action Plan targets being delivered on time. No further information requested/required from HBBC by EHRC in response to follow up report in October 2012.
<b>Likelihood: Medium/ Impact: Medium</b>		
S.11 - Failure to successfully deliver the Medium Term Financial Strategy	Jan 2013	The finance settlement was announced in mid-December and was within the parameters of the MTF. COB/SLB exceeded the savings target and therefore there has been less of an impact on General Fund Balances. The Local Council Tax Scheme has been agreed and there is a small surplus which will be used to meet additional administration costs. NHB is as forecast. Overall therefore the medium term position is better than forecast. The next revision of the MTFs will reflect this. The HRA financing position is similarly positive although the Investment Plan and Business Case are still being developed. There should however be sufficient head room as predicted. The funding of the Capital programme remains challenging.
S.14 - Dealing with numerous Public Enquiries	Jan 2013	Monitoring continues of appeals and a 6 monthly update will be reported to Scrutiny
S.22 - Failure of County Council Support/ engagement for the Local Strategic Partnership	Jan 2013	The LSP now sees regular attendance from a LCC Cabinet Member and senior LCC officer
S.25 - Failure to provide a fit for purpose Leisure Centre	Jan 2013	Council at its meeting in November 2012, agreed to the delivery of a new Leisure Centre on Argents Mead. A Project Board and Project Team have now been established. Procurement has commenced and PQQ's are expected by mid February. Engagement continues with health and adult social care over the potential for accomodating facilities.

Risk	Last reviewed	Review commentary
<b>Likelihood: Low/ Impact: Medium</b>		
S.20 - Non-compliance with Financial Regulations - Caused by: misunderstanding or non-application by officers	Jan 2013	Financial Regulations are being revised and will go to Council on the 28th of January. Some refresher training will be required after this.

<b>Risk opportunity</b>		
S.21 - Use of Rolling Revenue Budget Reports for movement of resources	Jan 2013	Following the review in November 2012, the savings and growth identified by COB have been incorporated into the budget preparations, ensuring that the budget for 2013/14 is robust and sustainable and maintains frontline services. Movements of resources have been achieved effectively between budget heads and between reserves, balances and the base budget.